

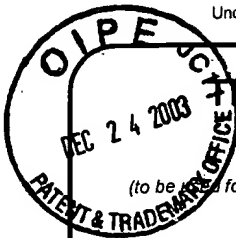
2161

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/661,010
Filing Date	September 13, 2000
First Named Inventor	Pych, Joseph T.
Art Unit	2161
Examiner Name	To be Assigned
Attorney Docket Number	NMC-001.01 (21810-101)
Total Number of Pages in This Submission	6+

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p>PTO Form 1449 listing refs. BA-BU; Copies of listed refs. BA-BU; and Return postcard.</p>
Remarks		Customer Number : 25181

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey M. Sears
Signature	<i>Jeffrey M. Sears</i>
Date	December 22, 2003

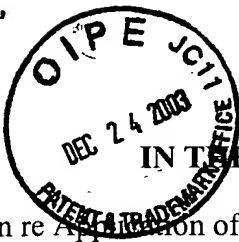
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Typed or printed name	John Barretto
Signature	<i>John Barretto</i>
Date	December 22, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pych, Joseph T

Application No.: 09/661,010

Filed: September 13, 2000

For: *Method and System for Acquiring
Prospect Lists Over a Computer
Network*

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: To Be Assigned

Art Unit: 2161

Attorney Document No.: NMC-001.01

(21810-101)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 (b)(3)

Sir:

In compliance with the requirements of 37 C.F.R. 1.56 and 1.97(b)(3), submitted herewith on Form PTO-1449 is a list of publications identified in a communication from the United States Patents and Trademark Office issued in a related application. A copy of each publication cited is also being submitted herewith. Applicant respectfully requests that the Examiner consider the listed publications and indicate they were considered by making appropriate notations on the attached Form PTO-1449.

In compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97, Applicants have cited for the Examiner's consideration co-pending U.S. patent applications that are owned at least in part by the assignee of this application and that describe subject matter related to the present application. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than Form PTO-1449 or PTO/SB/08A format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the Examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above."

No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications, the Examiner should contact the Attorney of record.

Our Docket #	Serial #	Date Filed	Title
NMC-001.02	09/662,364	September 13, 2000	<i>Method and System for Storing Prospect Lists in a Computer Database</i>
NMC-001.03	09/662,429	September 13, 2000	<i>Method and System for Distributing Prospect Lists Over a Computer Network</i>
NMC-003.01	09/697,233	October 26, 2000	<i>Systems and Methods for Generating Highly Responsive Prospect Lists</i>

Applicant has listed dates of publication on the attached PTO-1449 for the cited documents based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information in the cited documents was actually published or otherwise publicly available on the date indicated.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

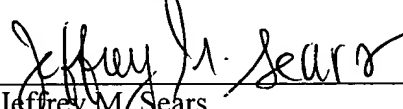
Under 37 C.F.R. § 1.97 (b)(3), this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits; therefore, no fee is believed to be due in connection with this submission. However, the Commissioner is authorized to charge any deficiencies or credit any overpayment to/from our **Deposit Order Account, No. 06-1448; Reference NMC-001.01.**

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1000.

Date: *December 22, 2003*

Customer No.: 25181
Patent Group
Foley Hoag LLP
155 Seaport Boulevard
Boston, MA 02210

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Jeffrey M. Sears", is written over a horizontal line.

Jeffrey M. Sears
Reg. No. 48,440
Attorney for Applicant

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

Docket Number (Optional)
NMC-001.01 (21810-101)

Application Number
09/661,010

Applicant
Pych, Joseph T.

Filing Date
September 13, 2000

Group Art Unit
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BA	4,536,791	08-1985	Campbell et al.	725	28	
BB	5,303,149	04-1994	Janigian	707	6	
BC	5,341,496	08-1994	Middledorp et al.	714	2	
BD	5,793,972	08-1998	Shane	709	219	
BE	5,806,057	09-1998	Gormley et al.	707	1	
BF	5,819,291	10-1998	Haimowitz et al.	707	201	
BG	5,845,285	12-1998	Klein	707	101	
BH	5,983,222	11-1999	Morimoto et al.	707	6	
BI	6,018,738	01-2000	Breese et al.	707	100	
BJ	6,026,398	02-2000	Brown et al.	707	5	
BK	6,343,738-B1	02-2002	Ogilvie	235	381	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
BL	EP-0890913-A1	01-1999	Europe	Gardner et al.			

OTHER DOCUMENTS
(Including Author, Title, Date, Pertinent Pages Etc.)

BM	Anon., "Calif. Chain Pushes Travel-Related Toys," Playthings, Vol. 87, No. 2, p. 86, February 1989.
BN	Anon., "Picking Lists That Hit the Target" (Abstract), Bank Marketing, Vol. 21, No. 4, p. 30, April, 1989.
BO	Egol, L., "Can Uncle Sam Be a Good Customer," Direct, Vol. 6, No. 4, p. 15, April 1994.
BP	Tighe, F., "New England Research Institute Uses FoxPro to Distribute Informaton," Data Based Advisor, Vol. 13, No. 10, p. 84, November, 1995.
BQ	Anon., "Donnelley Virtually Shops its List" (Abstract), Promo, Vol. IX, No. 10, p. 71, September, 1996.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449

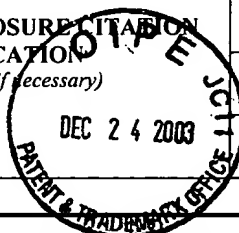
**INFORMATION DISCLOSURE CITATION
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(Use several sheets if necessary)

Docket Number (Optional)
NMC-001.01 (21810-101)

Application Number
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Applicant
Pyck, Joseph T.

Filing Date
September 13, 2000

Group Art Unit
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS
(Including Author, Title, Date, Pertinent Pages Etc.)

BR	Anon., "NetCreations, Direct Media Team up to Conduct 'Politically Correct' Direct Marketing on the Internet," Business Wire, December 11, 1996.
BS	Anon., "Acxiom(R) Announces Dramatic New Expansions to the Acxiom InfoBase™ Product Line," PR Newswire, October 1, 1997.
BT	Anon., "Restaurant Chain Uses Internet to Expand Database," Direct Marketing, Vol 61, No. 9, p. 17, January, 1999.
BU	Levy, D., "Small Businesses Thrive on Net Retailers' Help," USA Today, Monday, April 12, 1999, Final edition, Money section, page 3B.

EXAMINER		DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.